# Diagrammatic sets and homotopically sound rewriting

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Algebraic Rewriting Seminar 29 April 2021

- Diagrammatic sets and rewriting in weak higher categories, arXiv:2007.14505
- The smash product of monoidal theories, arXiv:2101.10361

A key insight of polygraph theory:

### Rewriting theory as a theory of directed cell complexes

(a kind of combinatorial topology of *directed spaces*)

## To define a model of (directed or non-directed) cell complexes, we need

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- **1** models of *n*-cells (and their (n-1)-boundaries);
- 2 models of "gluing maps" specifying how *n*-cells are put together

For non-directed cell complexes, we have

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- combinatorial models, as simplicial sets cells are combinatorial simplices, gluing is specified by morphisms in the simplex category
- synthetic models, as higher inductive types cells are constructors of identity types, gluing is specified by the type theory



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*Directed type theories* may give us synthetic models, but are at a quite primordial stage...

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**1** Expressiveness, or a strong pasting theorem.

We should be able to do actual rewriting theory in the model; in particular, be able to add generators/rewrite steps of the "shapes" we want, unless there's a good reason.

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We should be able to do actual rewriting theory in the model; in particular, be able to add generators/rewrite steps of the "shapes" we want, unless there's a good reason.

- Polygraphs are very expressive!
- None of the other models are very expressive, rewriting-wise.
  Point-set models can do direction only on 1-cells. Typical combinatorial models are limiting in terms of the shape of generators.

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From a directed cell complex, we should get a non-directed one with the same generating cells. A diagram in the directed complex should correspond to a homotopy in the non-directed complex.

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- For point-set models, it's obvious. Combinatorial models usually have nice geometric realisations that satisfy this.
- Polygraphs do not satisfy this: not all gluing maps (modelled by arbitrary functors of ω-categories) have a sound topological interpretation.

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- models with homotopy hypothesis, but weak pasting theorems

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- a notorious Kapranov–Voevodsky 1991 paper (name is due to them)

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We can associate to a cell complex its face poset...



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We can associate to a cell complex its face poset...



and to a higher-categorical pasting diagram its oriented face poset.

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### A classical theorem of combinatorial topology

A regular CW complex is specified up to cellular homeomorphism by its face poset.

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The face poset of a regular CW *n*-ball is a combinatorial model of an *n*-cell.



The diagrammatic set model of directed cell complex:

Directed *n*-cells are modelled by regular directed complexes

(oriented face posets of pasting diagrams, whose underlying poset is the face poset of a regular CW complex) with a greatest element of rank n

(so the underlying poset is the face poset of a regular CW *n*-ball)


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 Gluing is given by maps of posets that are compatible functorially with both realisations An orientation on a finite poset P is an edge-labelling
 o : ℋP<sub>1</sub> → {+, -} of its Hasse diagram.

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- An *oriented graded poset* is a finite graded poset with an orientation.

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- An oriented graded poset is a finite graded poset with an orientation.
- If  $U \subseteq P$  is (downward) closed,  $\alpha \in \{+, -\}$ ,  $n \in \mathbb{N}$ ,

 $\begin{aligned} \Delta_n^{\alpha} U &\coloneqq \{x \in U \mid \dim(x) = n \text{ and if } y \in U \text{ covers } x, \text{ then } o(y \to x) = \alpha \}, \\ \partial_n^{\alpha} U &\coloneqq \operatorname{cl}(\Delta_n^{\alpha} U) \cup \{x \in U \mid \text{for all } y \in U, \text{ if } x \leq y, \text{ then } \dim(y) \leq n \}, \\ \Delta_n U &\coloneqq \Delta_n^+ U \cup \Delta_n^- U, \qquad \partial_n U &\coloneqq \partial_n^+ U \cup \partial_n^- U. \end{aligned}$ 

If U is a closed subset of P, then U is a *molecule* if either

- U has a greatest element, in which case we call it an *atom*, or
- there exist molecules  $U_1$  and  $U_2$ , both properly contained in U, and  $n \in \mathbb{N}$  such that  $U_1 \cap U_2 = \partial_n^+ U_1 = \partial_n^- U_2$  and  $U = U_1 \cup U_2$ .

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An oriented graded poset P is a *directed complex* if, for all  $x \in P$  and  $\alpha, \beta \in \{+, -\}$ , if  $n = \dim(x)$ ,

**1**  $\partial^{\alpha}x$  is a molecule, and

$$\partial^{\alpha}(\partial^{\beta}x) = \partial^{\alpha}_{n-2}x.$$

 $\partial_k^+ U \cap \partial_k^- U = \partial_{k-1} U.$ 

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A directed complex is *regular* if all atoms have spherical boundary.

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\*simplicial nerve of poset + realisation of simplicial sets

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## Spherical boundary





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More in general, let C be a class of molecules closed under isomorphism, boundaries, and inclusion of atoms, and included in the class S of (regular) molecules with spherical boundary.

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More in general, let C be a class of molecules closed under isomorphism, boundaries, and inclusion of atoms, and included in the class S of (regular) molecules with spherical boundary.

• A *C*-directed complex is a directed complex whose atoms are all in *C*.

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A map  $f: P \rightarrow Q$  of  $\mathcal{C}\text{-directed}$  complexes is a function that satisfies

 $\partial_n^{\alpha} f(x) = f(\partial_n^{\alpha} x)$ 

for all  $x \in P$ ,  $n \in \mathbb{N}$ , and  $\alpha \in \{+, -\}$ .

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A map factors essentially uniquely as a *surjection* followed by an *inclusion*.

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Let  $f : P \rightarrow Q$  be a map. Then f is a closed, order-preserving, dimension-non-increasing function of the underlying posets.

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**1** *f* preserves all unions and binary intersections,

2 
$$\partial_n^{\alpha} f(\operatorname{cl}\{x\}) = f(\partial_n^{\alpha} x)$$
, and

3  $f(cl\{x\})$  is a C-molecule

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A C-functor factors e.u. as a subdivision followed by an inclusion.

A span of inclusions of subcategories:



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Let  $C \subseteq S$  be an algebraic class of molecules with spherical boundary. We say that C is a *convenient* if it satisfies the following axioms:

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We say that  ${\mathcal C}$  is a convenient if it satisfies the following axioms:

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- if U<sub>1</sub>, U<sub>2</sub> ∈ C and the pasting U<sub>1</sub> ∪ U<sub>2</sub> along V ⊑ ∂<sup>α</sup>U<sub>2</sub> is defined, then U<sub>1</sub> ∪ U<sub>2</sub> ∈ C;

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- 5 if  $U, V \in C$ , then  $U \otimes V \in C$  and  $U \star V \in C$ ;
- **6** if  $U \in \mathcal{C}$  and  $V \subseteq \partial U$  is a closed subset, then  $O^1 \otimes U/_{\sim_V} \in \mathcal{C}$ .

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The class S is convenient!

We fix a convenient class of molecules  $\ensuremath{\mathcal{C}}.$ 

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We write  $\odot$  (atom) for a skeleton of the full subcategory of **DCpx**<sup>C</sup> on the atoms of every dimension.

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• A diagrammatic set X is a presheaf on  $\odot$ .

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The Yoneda embedding  $\odot \hookrightarrow \odot$ **Set** extends to an embedding **DCpx**<sup>C</sup>  $\hookrightarrow \odot$ **Set**.

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We write  $\odot$  (atom) for a skeleton of the full subcategory of **DCpx**<sup>C</sup> on the atoms of every dimension.

• A diagrammatic set X is a presheaf on  $\odot$ .

The Yoneda embedding  $\odot \hookrightarrow \odot$ **Set** extends to an embedding **DCpx**<sup>C</sup>  $\hookrightarrow \odot$ **Set**.

• A diagram of shape U in X is a morphism  $x : U \to X$  where U is a molecule.

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- A diagram of shape U in X is a morphism  $x : U \to X$  where U is a molecule.
- It is *composable* if  $U \in C$ , and a *cell* if U is an atom.
A diagrammatic complex is a diagrammatic set X together with a set  $\mathscr{X} = \sum_{n \in \mathbb{N}} \mathscr{X}_n$  of generating cells such that, for all  $n \in \mathbb{N}$ ,



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is a pushout in **OSet**.

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is a pushout in  $\bigcirc$ **Set**.

This is our model of a directed cell complex.

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■ The geometric realisation of **DCpx**<sup>C</sup> extends to a geometric realisation of **⊙Set**, with a right adjoint *S*.

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- The realisation of a diagrammatic complex (*X*, *X*) is a CW complex with one generating cell for each cell in *X*.
- The right adjoint functor S has a homotopical left inverse (is homotopically faithful).
- Moreover, the sequence of homotopy groups of a space X can be read from a combinatorially defined sequence of homotopy groups of SX.

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But we can use *units* and *degeneracies*, produced by surjective maps in  $\odot$ , to "fatten them up" until they have spherical boundary.

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But we can use *units* and *degeneracies*, produced by surjective maps in  $\odot$ , to "fatten them up" until they have spherical boundary.

The price we pay for homotopical soundness is that "empty space" (sometimes) has to be explicitly handled.

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 $\odot$ Set is equivalent to the category  $PSh_{\Gamma}(DCpx^{\mathcal{C}})$  of  $\Gamma$ -continuous presheaves on  $DCpx^{\mathcal{C}}$ .



of restriction functors, where  $\mathbf{Pol}^{\mathcal{C}} \coloneqq \mathrm{PSh}_{\Gamma}(\mathbf{DCpx}_{in}^{\mathcal{C}})$  and  $\omega \mathbf{Cat}_{nu}^{\mathcal{C}} \coloneqq \mathrm{PSh}_{\Gamma}(\mathbf{DCpx}_{fun}^{\mathcal{C}})$ .



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- **Pol**<sup>C</sup> is a category of "combinatorial C-polygraphs" (only faces, no units or compositions)
- ωCat<sup>C</sup><sub>nu</sub> is a category of "non-unital C-ω-categories" (only faces and compositions, no units)

### Conjecture

Combinatorial S-polygraphs are equivalent to Simon Henry's regular polygraphs, and

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   ~ Computational analyses should be relative to sub-presheaves of the underlying combinatorial polygraph.
- Taking the "free non-unital ω-category" is a way of capturing the transitive closure of the rewrite relation

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- A diagrammatic set where every composable diagram is connected by an equivalence to a single cell
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And this equivalence should be witnessed by **3-dimensional** equivalence diagrams...



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whose definition involves 4-dimensional equivalence diagrams, etc

• All *degenerate* composable diagrams are equivalences.

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- Morphisms of diagrammatic sets preserve equivalences.
- If X is a space, every diagram in SX is an equivalence.

# Now, if there is time, an application (from the more recent paper)

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It is part of a symmetric monoidal closed structure on  $cgHaus_{\bullet}$ . The monoidal unit is the coproduct 1+1 pointed with one of the coproduct inclusions.

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If P and Q are regular directed complexes we obtain a regular directed complex  $P \otimes Q$ , the Gray product of P and Q.

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We give it an orientation as in the *tensor product of chain complexes*.

If P and Q are regular directed complexes we obtain a regular directed complex  $P \otimes Q$ , the Gray product of P and Q.

This is part of a monoidal structure on  $\mathbf{DCpx}^{\mathcal{C}}$ , which restricts to  $\odot$ , then extends to a monoidal biclosed structure on  $\odot$ **Set**.

# The Gray product is semicartesian on $\bigcirc$ **Set** (the unit is terminal), so $X \otimes Y$ is fibred over X and Y.

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The Gray product is semicartesian on  $\bigcirc$ **Set** (the unit is terminal), so  $X \otimes Y$  is fibred over X and Y.

This allows us to define a (Gray) smash product  $(X, \bullet_X) \otimes (Y, \bullet_Y)$ of pointed diagrammatic sets, part of a monoidal biclosed structure on  $\bigcirc$ **Set**.

The adjunction relating  $\bigcirc$ Set and cgHaus lifts to an adjunction between  $\bigcirc$ Set $_{\bullet}$  and cgHaus $_{\bullet}$ .

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#### Theorem

■ The realisation | - |: ③Set → cgHaus sends Gray products to cartesian products.

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2 The realisation | − |: <sup>()</sup>Set<sub>•</sub> → cgHaus<sub>•</sub> sends smash products to smash products.



#### A (coloured) prop is a

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#### A (coloured) prop is a

■ symmetric strict (small) monoidal category T



### A (coloured) prop is a

- symmetric strict (small) monoidal category T
- $\blacksquare$  whose objects are freely generated from a set  ${\mathscr T}$  of sorts.

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Morphisms  $\varphi: (a_1, \ldots, a_n) \Rightarrow (b_1, \ldots, b_m)$   $\sim$ Operations with *n* inputs and *m* outputs

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Operations with n inputs and m outputs



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Operations with n inputs and m outputs



A model of  $(\mathcal{T}, \mathscr{T})$  in a symmetric monoidal category **M** is a symmetric monoidal functor  $\mathcal{T} \to \mathbf{M}$ .

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Models of  $(T, \mathscr{T})$  in **M** form a category  $Mod_{M}(T, \mathscr{T})$  with monoidal natural transformations as morphisms.

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This category admits a symmetric monoidal structure.

(Idea: "run operations in parallel", use symmetry to redistribute inputs and outputs as needed)

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### We can consider models of $(S, \mathscr{S})$ in $Mod_{M}(T, \mathscr{T})$ .

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The tensor product  $(T, \mathscr{T}) \otimes_{\mathbb{S}} (S, \mathscr{S})$  is determined universally by the requirement that

• models of  $(T, \mathscr{T}) \otimes_{\mathbb{S}} (S, \mathscr{S})$  in **M** 

correspond naturally to

• models of  $(S, \mathscr{S})$  in  $Mod_{M}(T, \mathscr{T})$ .

#### Beyond props (symmetric monoidal theories), we may consider

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- pros ("planar" monoidal theories), and
- **probs** (braided monoidal theories).

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pros ("planar" monoidal theories), and
probs (braided monoidal theories).

There is

- an embedding  $\mathbf{Prop} \hookrightarrow \mathbf{Prob}$ , and
- a forgetful functor U:  $\mathbf{Prob} \rightarrow \mathbf{Pro}$ ,

with left adjoints r:  $\mathbf{Prob} \rightarrow \mathbf{Prop}$  and F:  $\mathbf{Pro} \rightarrow \mathbf{Prob}$ .

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There is an *external* tensor product  $- \otimes -$ : **Pro**  $\times$  **Pro**  $\rightarrow$  **Prob** 



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There is an *external* tensor product  $- \otimes -: \mathbf{Pro} \times \mathbf{Pro} \to \mathbf{Prob}$ 



We recover the tensor product of props from the external product of their underlying pros, by imposing that a natural family of inclusions of the factors into their product preserve braidings.

## Diagrammatic sets, pros, and probs

There are adjunctions relating

- 1 diagrammatic sets and pros, and
- diagrammatic sets and *Gray-categories* (a semistrict model of 3-category);

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moreover probs can be identified with certain Gray-categories.

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## The external product of pros is a smash product

## Theorem

## The diagram of functors



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commutes up to natural isomorphism.



The realisation of a smash product in probs loses information: cells of dimension n > 3 become equations of cells in a prob.

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- The realisation of a smash product in probs loses information: cells of dimension n > 3 become equations of cells in a prob.
- Because N is full and faithful, we can replace N(T, 𝒯) with any other X such that PX ≃ (T, 𝒯).
  For example X could be a presentation with oriented 3-cells with nice computational properties.

- The realisation of a smash product in probs loses information: cells of dimension n > 3 become equations of cells in a prob.
- Because N is full and faithful, we can replace N(T, T) with any other X such that PX ~ (T, T).
  For example X could be a presentation with oriented 3-cells with nice computational properties.
- If X and Y have interesting oriented n-cells, then X ⊙ Y has interesting oriented k-cells up to k = 2n!

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## **Idea:** Given presentations X of $(T, \mathscr{T})$ and Y of $(S, \mathscr{S})$ , the smash product $X \otimes Y^{\circ}$ produces

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**Idea:** Given presentations X of  $(T, \mathscr{T})$  and Y of  $(S, \mathscr{S})$ , the smash product  $X \otimes Y^{\circ}$  produces

**1** a presentation (with oriented equations) of  $(T, \mathscr{T}) \otimes (S, \mathscr{S})$ ,

2 plus higher-dimensional coherence cells, or oriented syzygies, for this presentation.

Let X be a presentation of the theory of monoids Mon with the 3-cells



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Then  $X \otimes X$  is a presentation of  $Mon \otimes Mon^{co}$ , the theory of *bialgebras*.

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Then  $X \otimes X$  is a presentation of  $Mon \otimes Mon^{co}$ , the theory of *bialgebras*.

It has the following "new" critical branching:



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The 5-cell  $\alpha \otimes \mu$  in  $X \otimes X$  exhibits confluence at this critical branching:

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6-cells such as  $\alpha \otimes \alpha$  are *higher syzygies* exhibiting confluence at critical branchings of syzygies

Question:

If we start from presentations with nice computational properties or nice homotopical properties,

do we obtain nice presentations of their tensor product?

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