Operadic rewriting and Koszulness

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Algebraic Rewriting Seminar - March 18, 2021

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- 3. Gröbner bases for shuffle operads
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Overview

Why algebraic rewriting?

> Rewriting is a combinatorial theory of equivalence [Newman 1942]

 \rangle Algebraic rewriting is a combinatorial theory of congruence

> In computer algebra: ideal membership, resolutions, homological properties

Examples: monoids, commutative algebras, associative algebras [Shirshov 1962, Bergman 1978, Bokut' 1994, Mora 1994], higher categories [Street 1976, Burroni 1993], operads [Dotsenko-Khoroshkin 2010].

> In constructive mathematics: cofibrant replacements

Examples: associative and path algebras [Anick 1986, Anick-Green 1987, Guiraud-Hoffbeck-Malbos 2019], monoids [Kobayashi 1990, Brown 1992], categories [Guiraud-Malbos 2009], operads [Dotsenko-Khoroshkin 2013].

Overview

Two ways of doing rewriting:

Gröbner bases:

- \rangle we orient relations using a monomial order,
- \rangle we formulate confluence algebraically.

Polygraphs:

 \rangle we work in a higher dimensional setting,

 \rangle we interpret rewriting properties by oriented syzygies:



Our goal is to mix the two approaches.

Theorem [Malbos-R. 2020]

An operad with a quadratic convergent presentation is Koszul.

Symmetric and shuffle operads

If associative algebras are a linear version of words, then shuffle operads are a linear version of planar trees.

Symmetric operads

- > The category GColl of symmetric collections is the presheaf category on Fin, the category of finite nonempty sets with bijections, with values in Vect, the category of vector spaces over k.
 - \rangle A symmetric collection V is determined by $V(k) := V(\{1, ..., k\})$ for $k \ge 1$, with a right action by \mathfrak{S}_k . An element of V(k) is of arity k.

 \rangle The symmetric composition of two collections V, W is

$$V \circ_{\mathfrak{S}} W(I) = \bigoplus_{k \ge 1} V(k) \otimes_{\mathbf{k}[\mathfrak{S}_k]} \left(\bigoplus_{f: I \to \{1, \dots, k\}} W(f^{-1}\{1\}) \otimes \cdots \otimes W(f^{-1}\{k\}) \right)$$

where $I \in \text{Fin}$ and f is a surjection. The unit for this composition is $\mathbb{1} := (\mathbf{k}, 0, ...)$. $(\mathfrak{SColl}, \circ_{\mathfrak{S}}, \mathbb{1})$ is a monoidal category.

) The category \mathfrak{SOp} of symmetric operads is the category of internal monoids in ($\mathfrak{SColl}, \circ_{\mathfrak{S}}, \mathbb{1}$).

We can represent an element $u \in V(k)$ and its image by $\sigma \in \mathfrak{S}_k$ as planar trees with indexed inputs:

$$u = \underbrace{\begin{matrix} 1 & \cdots & k \\ u & u \end{matrix}}_{u} \underbrace{\begin{matrix} 1 & \cdots & k \\ u & \sigma \end{matrix}}_{u \to \sigma} \underbrace{\begin{matrix} \sigma^{-1}(1) & \cdots & \sigma^{-1}(k) \\ u & u \end{matrix}}_{u \to \sigma}$$

Then, for $u \in V(k)$, $v_1 \in V(n_1)$, ..., $v_k \in V(n_k)$, $n_{\leq k} = n_1 + \cdots + n_{k-1}$, and $\sigma \in \mathfrak{S}_{n_{\leq k}+n_k}$, we have



Elements of a symmetric operad can be represented as linear combinations of planar trees.

Example: symmetric operad Lie

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$$\begin{array}{c} \mu \\ \mu \\ \mu \\ \end{array}^{2} 3 + \begin{array}{c} 2 \\ \mu \\ \mu \\ \end{array}^{3} 1 + \begin{array}{c} 3 \\ \mu \\ \mu \\ \end{array}^{3} 1 = 0.$$

> Compare with

 $[[x_1, x_2], x_3] + [[x_2, x_3], x_1] + [[x_3, x_1], x_2] = 0.$

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> Due to the symmetric actions, there is no known way to do algebraic rewriting in symmetric operads.

> This motivates the study of **shuffle operads** [Dotsenko-Khoroshkin 2010].

Shuffle operads [Dotsenko-Khoroshkin 2010]

- > The category Coll of (non-symmetric) collections is the presheaf category on Ord, the category of finite nonempty ordered sets with order-preserving bijections, with values in Vect.
 - \rangle A collection V is determined by $V(k) := V(\{1 < \cdots < k\})$ for $k \ge 1$, whose elements are of arity k.

 \rangle The shuffle composition of two collections V, W is

$$V \circ_{\mathrm{III}} W(I) = \bigoplus_{k \ge 1} V(k) \otimes \left(\bigoplus_{f:I womega \{1, \dots, k\}} W(f^{-1}\{1\}) \otimes \cdots \otimes W(f^{-1}\{k\}) \right)$$

where $I \in \text{Ord}$ and f is a shuffle surjection, that is, $\min f^{-1}\{1\} < \cdots < \min f^{-1}\{k\}$. The unit for this composition is also $\mathbb{1} := (\mathbf{k}, 0, \ldots)$.

- $\rangle \ \mbox{(Coll,} \circ_{III}, \mathbb{1})$ is a monoidal category.
-) The category IIIOp of shuffle operads is the category of internal monoids in (Coll, \circ_{III} , 1).

An example of a shuffle surjection is the surjection $f : \{1, \dots, 6\} \rightarrow \{1, 2, 3\}$ defined by

$$f(1) = f(3) = f(4) = 1,$$
 $f(2) = f(6) = 2,$ $f(5) = 3.$

For $u \in V(3)$, $v_1 \in V(3)$, $v_2 \in V(2)$, $v_3 \in V(1)$, we then have



We will denote this element by $(u \mid_f v_1 v_2 v_3)$ or $(u \mid \vec{v})$.

Tree monomials

 \rangle Let $X = (X(k))_{k \ge 1}$ such that X(k) is a basis of V(k) for every $k \ge 1$. In terms of planar trees, the collection $V \circ_{\text{III}} V$ has a basis of planar trees



-) For $j \in \{1, ..., k\}$, the inputs of x_j are $\{i_{n_1 + \dots + n_{j-1} + 1} < \dots < i_{n_1 + \dots + n_j}\}$.
-) The inputs of x_0 are $\{i_1 < i_{n_1+1} < \cdots < i_{n_1+\dots+n_{k-1}+1}\}$.
- \rangle By iterating this tree construction, we get the free shuffle operad on X, denoted by X^{III} spanned by tree monomials. We refer to elements of X^{III}(k) as polynomials of arity k.

Example: shuffle operad Lie^b

The shuffle operad Lie^b is generated by one operation μ of arity 2, and satisfies the shuffle Jacobi relation

$$\begin{array}{c} 1 \\ \mu \\ \mu \end{array} \begin{array}{c} 3 \\ \mu \end{array} \begin{array}{c} 1 \\ \mu \\ \mu \end{array} \begin{array}{c} 3 \\ \mu \end{array} \begin{array}{c} 1 \\ \mu \\ \mu \end{array} \begin{array}{c} 2 \\ \mu \end{array} \begin{array}{c} 2 \\ \mu \\ \mu \end{array} \begin{array}{c} 2 \\ \mu \end{array} \begin{array}{c} 3 \\ \mu \\ \mu \end{array} = 0.$$

Bimodules over operads

 \rangle Given a shuffle operad *P*, a *P*-bimodule is a collection *M* equipped with linear maps

$$\begin{split} \lambda : P(k) \otimes P(f^{-1}\{1\}) \otimes \cdots \otimes A(f^{-1}\{i\}) \otimes \cdots \otimes P(f^{-1}\{k\}) \to A(I) \qquad (\text{crossed left action}) \\ \rho : A(k) \otimes P(f^{-1}\{1\}) \otimes \cdots \otimes P(f^{-1}\{k\}) \to A(I), \qquad (\text{right action}) \end{split}$$

for all $k \ge 1$, $I \in \mathbf{Ord}$, and $f : \{1, \dots, k\} \twoheadrightarrow I$ a shuffle surjection.

> An ideal of a shuffle operad P is a sub-P-bimodule of P, seen as a P-bimodule.

Forgetting symmetric actions

angle There is a forgetful functor Ord ightarrow Fin that forgets the order. This induces forgetful functors

 $-^{u}: \mathfrak{SColl} \to \mathsf{Coll}$ and $-^{u}: \mathfrak{SOp} \to \amalg \mathsf{Op}.$

- \rangle Given a symmetric operad *P*, the shuffle operad *P^u* preserves all of the information of the symmetric actions.
- \rangle [Dotsenko-Khoroshkin 2013] Given a symmetric operad P, denote by $H^Q(P)$ its Quillen homology, and by $H^Q(P^u)$ the Quillen homology of P^u . Recall that these are sequences of symmetric or non-symmetric collections. Then

 $H^Q(P)^u \simeq H^Q(P^u).$

Quadratic operads

 \rangle An operad is **quadratic** if it is presented by generators and relations such that the relations are all of homogeneous weight 2.

Koszulness

- \rangle A quadratic operad is Koszul if its Quillen homology is concentrated on the diagonal, that is, its n^{th} homology group is concentrated in weight n.
- > In particular, the cobar construction on the Koszul dual coooperad of a Koszul operad is a minimal model of the operad.
- \rangle A quadratic symmetric operad is Koszul if its shuffle version is Koszul.

Gröbner bases for shuffle operads

With the planar tree interpretation, we can define contexts:

Contexts

 \rangle A context of inner arity k is a tree monomial C[-] of the form



where \Box_k is a symbol of arity k and u, \vec{v}, \vec{w} are tree monomials.

 \rangle Given a polynomial $f = \sum \lambda_i u_i$ of arity k, we define the polynomial $C[f] := \sum \lambda_i C[u_i]$.

Monomial orders

- A monomial order is a total order \prec on tree monomials such that for $u \prec v$ two tree monomials and C a context, $C[u] \prec C[v]$.
- \rangle Given a polynomial f,
 - \rangle its leading monomial Im(f) is the greatest tree monomial that occurs,
 - \rangle its leading coefficient lc(f) is the coefficient in front of the leading monomial.

Path-lexicographic order

An example of a monomial order is the **path-lexicographic order**:

- $\rangle\,$ Given a tree monomial, write the path from the root to each input.
- $\rangle\,$ Write the list of inputs from left to right.
- $\rangle\,$ The order is given by lexicographic order on the paths and the list of inputs.

For example,



Gröbner bases for operads

 \rangle Given two polynomials f and g, if there exists a context C such that C[lm(g)] = lm(f), then we define the reduction of f by g by

$$f \xrightarrow{C[g]} f - \frac{\mathsf{lc}(f)}{\mathsf{lc}(g)}C[g]$$

 $\rangle\,$ For example, the shuffle Jacobi relation induces the reductions



A **Gröbner basis** of an ideal I of a free shuffle operad X^{III} is a generating set G such that every nonzero polynomial in I can be reduced by an element of G.

This approach allows us to obtain a homological result on operads:

Theorem [Dotsenko-Khoroshkin 2010, 2013]

A quadratic operad with a quadratic Gröbner basis is Koszul.

Idea of proof.

- \rangle Construct a *dg*-operad called the inclusion-exclusion operad, which keeps track of reducible factors.
- \rangle This *dg*-operad is a free resolution of the associated monomial operad.
- \rangle Obtain a free resolution of the original operad by deformation of the differential.
- \rangle This resolution is generated by elements concentrated on the superdiagonal, so the Quillen homology is concentrated on the diagonal, and so the operad is Koszul.

Polygraphic rewriting in shuffle operads

Shuffle 1-operads

> A shuffle 1-operad is an internal category in the category IIIOp of shuffle operads.

$$P_0 \stackrel{\stackrel{s_0}{\leftarrow} i_1 \rightarrow}{\underset{t_0}{\leftarrow} i_0} P_1$$

The elements of P_0 are called 0-cells, and those of P_1 are called 1-cells

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 \rangle The interaction between the internal category structure and the operad structure gives the linear exchange relation: for any 1-cells $f : s_0(f) \to t_0(f)$ and $g : s_0(g) \to t_0(g)$, the two paths below are equal:



Shuffle 1-polygraphs

A shuffle 1-polygraph is a diagram



where

- $\rangle X_0 = (X_0(k))_{k \ge 1}$ is the indexed set of generators
- $X_1 = (X_1(k))_{k \ge 1}$ is the indexed set of rewriting rules
- \rangle the source and target maps $s_0, t_0 : X_1 \to X_0^{\text{III}}$ are from rewriting rules to the free operad on the generators.

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- \rangle the source and target maps $s_0, t_0 : X_1 \to X_0^{III}$ are from rewriting rules to the free operad on the generators.
- $X^{\text{III}} = (X_0^{\text{III}}, X_1^{\text{III}})$ is the free shuffle 1-operad where X_0^{III} is the shuffle operad of 0-cells and X_1^{III} is the shuffle operad of 1-cells.

 \rangle The shuffle operad **presented** by X is the coequalizer \overline{X} of s_0 , $t_0: X_1^{\text{III}} \rightrightarrows X_0^{\text{III}}$.

Example: polygraphic presentation of Lie^\flat

The shuffle operad Lie^{\flat} is presented by the shuffle 1-polygraph

$$X_{\text{Lie}^b} := \left\langle \mu \in X_0(2) \middle| \alpha : \begin{array}{c} 1 & 2 & 1 \\ \mu & 3 & \rightarrow \end{array} \right\rangle \xrightarrow{1} \mu & 2 & 1 \\ \mu & \mu & \mu \end{array} \right\rangle.$$

Rewriting systems from 1-polygraphs

Let X be a left-monomial 1-polygraph, that is, every source is a tree monomial.

 \rangle A rewriting step is a 1-cell

$\lambda C[\alpha] + i_1(b) : \lambda C[u] + b \rightarrow \lambda C[a] + b$

of X_1^{III} , where $\alpha : u \to a$ is a rewriting rule, C is a context, λ is a nonzero scalar, and b is a polynomial of X_0^{III} such that $C[u] \notin \text{supp}(b)$.

- > A rewriting path is a sequence of rewriting steps.
- > The 1-polygraph X is **terminating** if there are no infinite rewriting paths.

Branchings

- \rangle A branching is a pair of rewriting paths (f, g) with the same source.
- \rangle A local branching is a branching (*f*, *g*) where *f* and *g* are rewriting steps. We classify local branchings as:



multiplicative

intersecting

critical







Confluence

 \rangle The 1-polygraph X is (locally) confluent if, for every (local) branching (f, g), there exist rewriting paths h and k and the confluent diagram



> The 1-polygraph X is **convergent** if it is confluent and terminating.

 \rangle A Gröbner basis is equivalent to a convergent 1-polygraph whose rewriting rules reduce the leading term to the rest.

Cellular extension

Let X be a 1-polygraph.

> A cellular extension is an indexed set of generating 2-cells



where $s_0(A)$, $t_0(A)$ are 0-cells and $s_1(A)$, $t_1(A) : a \to b$ are 1-cells of X^{III} .

 \rangle Let \sim be the equivalence relation generated by $s_1(A) \sim t_1(A)$ for every element A of the cellular extension. The cellular extension is acyclic if the equivalence relation \sim has one equivalence class. The critical branchings theorem comes from [Knuth-Bendix 1971, Nivat 1972]. The coherent version comes from [Squier 1994, Guiraud-Hoffbeck-Malbos 2019, Malbos-R. 2020].

Theorem (coherent critical branchings)

Let X be a terminating 1-polygraph with a generating 2-cell for each critical branching (f, g):



Then the cellular extension is acyclic.

We can then consider compositions of generating 2-cells by gluing confluent diagrams: this leads to the notion of higher dimensional rewriting.

Example: coherent convergence of $X_{\text{Lie}^{\flat}}$

The 1-polygraph $X_{\text{Lie}^{\flat}}$ only has one critical pair and is convergent. The cellular extension will have only one generating 2-cell:



Higher dimensional polygraphs and Koszulness

Shuffle ω -operads

 \rangle A shuffle ω -operad is an internal (strict) ω -category in IIIOp, that is, an object

$$P_0 \xleftarrow{s_0} P_1 \xleftarrow{s_1}{t_0} P_1 \xleftarrow{s_1}{t_1} P_2 \xleftarrow{s_1}{t_1} \cdots \xleftarrow{s_{n-1}}{t_{n-1}} P_n \xleftarrow{s_n}{t_{n+1} \cdots} \cdots$$

satisfying globularity, associativity, and identity axioms.

Shuffle ω -polygraphs

 \rangle The definition of shuffle 1-polygraphs extends to that of shuffle ω -polygraphs:



 \rangle An ω -polygraph is a polygraphic resolution if each cellular extension X_{n+1} is acyclic.

Standard polygraphic resolution

Every operad P is presented by a polygraphic resolution called the standard polygraphic resolution Std(P) of P:

- \rangle The indexed set $Std(P)_0$ of generators is P, seen as an indexed set. Given an element $u \in P$, we denote the associated element [u] in $Std(P)_0$.
- \rangle The indexed set $Std(P)_1$ of rewriting rules consists of a rule $([u] | [v_1] \cdots [v_k]) \rightarrow [(u | \vec{v})]$ for every $u, v_1, \ldots, v_k \in P$.
- \rangle For higher dimensions, we define $Std(P)_{n+1}$ as the cellular extension containing a generating (n+1)-cell $f \to g$ for all pairs of parallel *n*-cells.

Overlapping polygraphic resolution

Let X be a convergent 1-polygraph. We construct the **overlapping polygraphic resolution** Ov(X) on X. The elements of $Ov(X)_n$ correspond to certain overlappings of *n* rewriting rules:



Overlapping polygraphic resolution

- \rangle As for tree monomials, we define a **path-lexicographic order** \prec_{pl} on rules in context such that rewriting rules are smaller than generators.
- \rangle Define its overlappings Ov(X):
 - \rangle A 0-overlapping is an element of $Ov(X)_0 := X_0$.
 - \rangle An *n*-overlapping $u_n \in Ov(X)_n$ has *n* branches $C_1[\alpha_1] \prec_{pl} \cdots \prec_{pl} C_n[\alpha_n]$ with a common source $s_0(u_n)$. For a list of tree monomials \vec{v}_{n+1} , define the extensions on u_n in \vec{v}_{n+1} as

$$E(u_n, \vec{v}_{n+1}) := \left\{ \begin{array}{c} C[\alpha] \\ C[\alpha] \end{array} \middle| \begin{array}{c} C[s_0(\alpha)] = (s_0(u_n) \mid \vec{v}_{n+1}), \\ C[\alpha] \succ_{pl} (C_n[\alpha_n] \mid \vec{v}_{n+1}) \end{array} \right\}$$

An (n+1)-overlapping is a pair (u_n, \vec{v}_{n+1}) such that

$$\forall \vec{w}_{n+1} \subsetneq \vec{v}_{n+1}, \quad \max E(u_n, \vec{w}_{n+1}) \prec_{pl} E(u_n, \vec{v}_{n+1}).$$

Its branches are $(C_1[\alpha_1] \mid \vec{v}_{n+1}) \prec_{pl} \cdots \prec_{pl} (C_n[\alpha_n] \mid \vec{v}_{n+1}) \prec_{pl} \max E(u_n, \vec{v}_{n+1})$ and its source is $(s_0(u_n) \mid \vec{v}_{n+1})$.

The source and target maps are defined following a right normalization strategy.

Bimodule resolution from polygraphic resolution

Given a polygraphic resolution X presenting an operad P, we can construct an exact sequence of P-bimodules $P\langle X \rangle$:

$$\cdots \xrightarrow{\delta_n} P\langle X_n \rangle \xrightarrow{\delta_{n-1}} \cdots \xrightarrow{\delta_1} P\langle X_1 \rangle \xrightarrow{\delta_0} P\langle X_0 \rangle$$

> For $n \ge 1$, $\delta_n(A)$ is given by $[s_n(A) - t_n(A)]$, replacing the various cellular compositions with addition. > For n = 0, $\delta_0(\alpha) := [s_0(\alpha) - t_0(\alpha)]$, where

$$[(u \mid \vec{v})] := ([u] \mid \vec{\overline{v}}) + \sum_{i=1}^{k} (\overline{u} \mid \overline{v}_1 \cdots [v_i] \cdots \overline{v}_k).$$

Theorem [Malbos-R. 2020]

A operad P with a convergent quadratic polygraphic presentation X is Koszul.

Idea of proof.

- \rangle Extend the 1-polygraph X to the overlapping polygraphic resolution Ov(X).
- \rangle Study the induced *P*-bimodule resolution $(P\langle Ov(X)_n \rangle)_n$, whose generators are concentrated on the superdiagonal.
- \rangle The Quillen homology of the operad *P* is then concentrated on the diagonal, and so *P* is Koszul.

And now...

We have defined the notion of **polygraphic resolution** of an operad.

- > How to construct a resolution/cofibrant replacement in the category of differential graded operads?
- > Does the overlapping resolution give a minimal cofibrant replacement?
- > Can we generalize the overlapping polygraphic resolution to quasi-terminating presentations?
- > Can shuffle operadic rewriting be generalized to shuffle properads?