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From Gröbner bases to linear polygraphs

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 - Orientation of relations depend on an ambient monomial order, that is a well-founded total order such that s(f) > t(f) for any rule f and uvw > uv'w for any monomials u, v, w such that v > v'.

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 - Two fundamental properties of computations: termination, and confluence.

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- Introduction of linear polygraphs:

Convergent presentations and polygraphic resolutions of associative algebras

YVES GUIRAUD ERIC HOFFBECK PHILIPPE MALBOS

Abstract – Several constructive homological methods based on noncommutative Gröbner bases are known to compute free resolutions of associative algebras. In particular, these methods relate the Koszul property for an associative algebra to the existence of a quadratic Gröbner basis of its ideal of relations. In this article, using a higher-dimensional rewriting theory approach, we give several improvements of these methods. We define polygraphs for associative algebras as higher-dimensional linear rewriting systems that generalise the notion of noncommutative Gröbner bases, and allow more possibilities of termination orders than those associated to monomial orders. We introduce polygraphic resolutions of associative algebras, giving a categorical description of higher-dimensional syzygies for presentations of algebras. We show how to compute polygraphic resolutions starting from a convergent presentation, and how these resolutions can be linked with the Koszul property.

Keywords – Higher-dimensional associative algebras, confluence and termination, linear rewriting, polygraphs, free resolutions, Koszulness.

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Consider an associative algebra A = K⟨x, y, z | xyz - x³ - y³ - z³⟩, i.e. A is the algebra generated by x,y and z quotiented by the ideal generated by xyz - x³ - y³ - z³.

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 - ► Suppose such an order ≺ exists.
 - Since \prec is total, one of x, y, z is greater than the other two. Suppose it is x.
 - Then $x \succ y$ implies $x^2 \succ yx$ and $x \succ z$ implies $yx \succ yz$.
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 - Consider the map $\Phi: \{x, y, z\}^* \to \mathbb{N}$ defined by

 $\Phi(u) := 3 \times$ number of xyz in u + number of y in u.

• $\Phi(uxyzv) > \Phi(ux^3v)$, $\Phi(uxyzv) > \Phi(uy^3v)$, $\Phi(uxyzv) > \Phi(uz^3v)$ for any $u, v \in \{x, y, z\}^*$.

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- Therefore, if we have a rewriting step $f \Rightarrow \sum_{i} \lambda_i f_i$, we have $\Phi(f) > \Phi(f_i)$.
- ▶ There cannot exist an infinite rewriting sequence $f \Rightarrow f' \Rightarrow f'' \Rightarrow ...$ in *P*, otherwise there would be a strictly decreasing infinite sequence of natural numbers

$$\Phi(f) > \Phi(f') > \Phi(f'') > \dots$$

- I. Linear 2-polygraphs
- II. The linear critical branching theorem
- III. Squier's coherence theorem
- IV. Higher-dimensional linear polyraphs

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Associative algebras $\leftrightarrow 1$ -algebroids with only one 0-cell.

- ▶ A 1-algebroid over a field \mathbb{K} is a 1-category enriched over the category Vect_K of K-vector spaces.
- Explicitely, it is given by:
 - ▶ a set of 0-cells A₀,
 - ▶ for every 0-cells p and q, a K-vector space A(p,q), whose elements are the 1-cells of A.
 - ▶ for any 0-cells p,q and r, there is a \mathbb{K} -linear map $\star_0 : A(p,q) \otimes A(q,r) \to A(p,r)$, and we denote $\star_0(f \otimes g)$ by fg.
 - ▶ this composition is associtative: (fg)h = f(gh), and unitary: $1_p f = f = f 1_q$ for any $f \in A(p,q)$.

- Let (P_0, P_1) be a 1-polygraph, *i.e.*, a directed graph with source and target maps s_0, t_0 .
- The free 1-algebroid over P is the 1-algebroid P_1^{ℓ} defined by:
 - $(P_1)_0^\ell = P_0,$
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- A linear 2-polygraph is a triple (P_0, P_1, P_2) made of
 - ▶ a 1-polygraph (P₀, P₁),
 - ▶ a cellular extension P_2 of the free 1-algebroid P_1^{ℓ} , with source and target maps s_1, t_1 satisfying globular relations:

 $s_0 s_1 = s_0 t_1, \quad y_0 t_1 = t_0 t_1.$

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- From now on, we consider linear 2-polygraphs with only one 0-cell.
- The ideal of a linear 2-polygraph P is the two-sided ideal of the algebra P_1^{ℓ} generated by

$$\{s_1(\alpha) - t_1(\alpha) \mid \alpha \in P_2\}$$

The algebra presented by *P* is the \mathbb{K} -algebra given by $P_1^{\ell} / I(P)$.

2-Algebras

- ▶ A 2-algebra is an internal category in the category Alg_{K} of associative K-algebras.
- Explicitely, it is given by a diagram in Alg:

where $A_2 \times_{A_1} A_2$ is made of pairs (a, a') of elements of A_2 such that $t_1(a) = s_1(a')$.

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- ▶ The product of two 2-cells *a* and *b* in *A*₂ is denoted by *ab*.
- ► The linear structure and product in the algebra A₂ ×_{A₁} A₂ are given by:
 (a, a') + (b, b') = (a + b, a' + b'), λ(a, a') = (λa, λa'), (a, a')(b, b') = (ab, a'b')
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▶ For any 2-cells *a*, *b* and any $\lambda, \mu \in \mathbb{K}$, we have

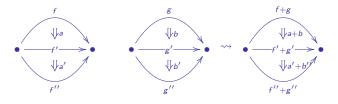
 $\partial_1(ab) = \partial_1(a)\partial_1(b), \quad \partial_1(\lambda a + \mu b) = \lambda \partial_1(a) + \mu \partial_1(b) \text{ for } \partial \in \{s, t\}.$

Properties of sources, targets and compositions of a 2-algebra

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$$(a + b) \star_1 (a' + b') = a \star_1 a' + b \star_1 b'$$

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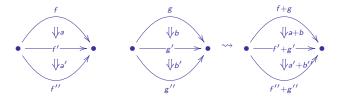
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Some identities:

- For any 1-composable *a* and *a'*, we have $a \star_1 a' = a + a' t_1(a)$,
- For any 2-cell *a*, we have $a^- = -a + s_1(a) + t_1(a)$, so that any 2-cell is invertible for the \star_1 -composition.
- For any 2-cell *a* and *b* in *A*, we have

$$ab = as_1(b) + t_1(a)b - t_1(a)s_1(b) = {}_{\mathsf{Eq}_{a,b}} s_1(a)b + at_1(b) - s_1(a)t_1(b)$$

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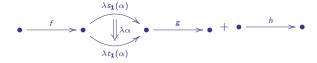
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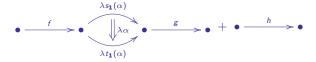
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• Elements of P_2^{ℓ} have shape



The sphere correspond to the "monomial" place where we will apply rewriting steps inside a polynomial.

• A monomial in P_2^{ℓ} is a 1-cell in the free monoid P_1^* over P_1 .

- The monomials of P_2^{ℓ} form a linear basis of the algebra P_1^{ℓ} .
- Every 1-cell $f \neq 0$ of P_1^{ℓ} can be uniquely written as $f = \lambda_1 u_1 + \cdots + \lambda_p u_p$ with $\lambda_i \in \mathbb{K} \setminus \{0\}$ and u_i monomials.

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▶ Why the green condition ? To avoid termination obstructions: if $f \Rightarrow g$ is a rewriting step, then $-f \Rightarrow -g$, and thus

$$g = (f+g)-f \Rightarrow (f+g)-g = f.$$

- A monomial in P_2^{ℓ} is a 1-cell in the free monoid P_1^* over P_1 .
 - The monomials of P_2^{ℓ} form a linear basis of the algebra P_1^{ℓ} .
 - Every 1-cell $f \neq 0$ of P_1^{ℓ} can be uniquely written as $f = \lambda_1 u_1 + \cdots + \lambda_p u_p$ with $\lambda_i \in \mathbb{K} \setminus \{0\}$ and u_i monomials.

$$\operatorname{Supp}(f) := \{u_1, \ldots, u_p\}.$$

• A 2-monomial of P_2^{ℓ} is a 2-cell with shape



- Every non-identity 2-cell $a \in P_2^{\ell}$ can be decomposed as $\lambda_1 a_1 + \cdots + \lambda_p a_p + h$ where the a_i are 2-monomials and $h \in P_1^{\ell}$.
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A 2-cell of P_2^{ℓ} with that shape but without the green condition is called elementary.

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• Denote P_1^{nf} the set of normal forms of *P*. If *P* is terminating,

$$P_1^\ell = P_1^{\mathsf{nf}} + I(P), \qquad f = \widehat{f} + (f - \widehat{f}).$$

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• The decomposition is not direct in general: consider $P = \langle x, y \mid x^2 \stackrel{\beta}{\Rightarrow} xy \rangle$.

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- **Theorem:** The following conditions are equivalent:
 - i) *P* is confluent.
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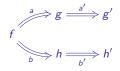
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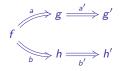


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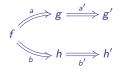
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▶ Theorem: Let A be an algebra and P be a convergent linear 2-polygraph presenting A. The set P_1^{mnf} of monomials of P_1^{ℓ} in normal form w.r.t P is a linear basis of A.

II. The linear critical branching theorem

- Associative algebras over a field \mathbb{K} are presented by linear 2-polygraps. These are triples $P = (P_0, P_1, P_2)$ where:
 - $\blacktriangleright P_0 = \{\bullet\},\$
 - ▶ generating 1-cells in $P_1 \ni x, y, z, ... \qquad \rightsquigarrow P_1^\ell \ni xyz x^3 y^3 z^3$,

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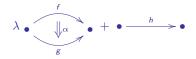
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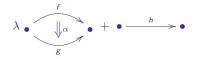
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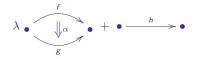
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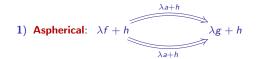
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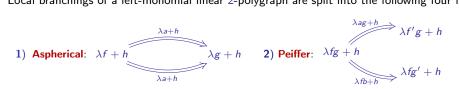
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- ▶ If *P* is a linear 2-polygraph, $(P_1^{\ell}, \Rightarrow_{stp})$ gives an abstract rewriting system.
 - As opposed to set-theoretical context, we do not consider all the 2-cells of P_2^{ℓ} .
 - Newman lemma: If P is terminating, confluence and local confluence are equivalent properties.

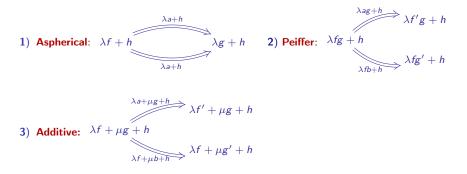
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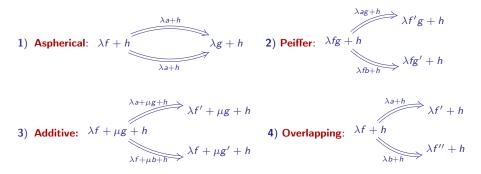
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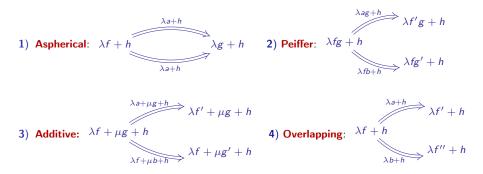
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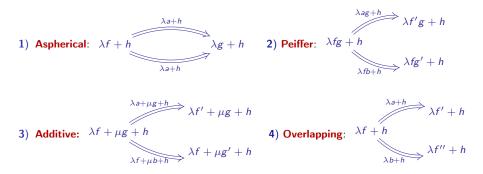


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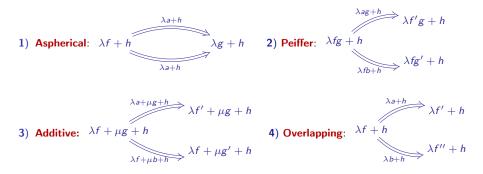
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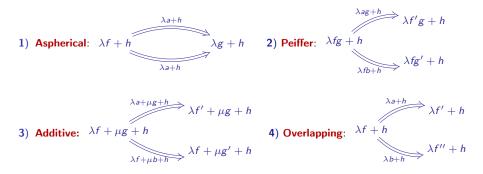
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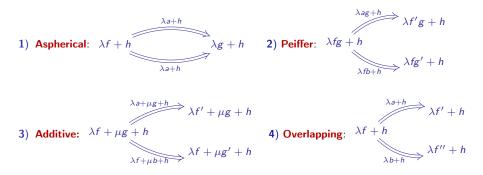
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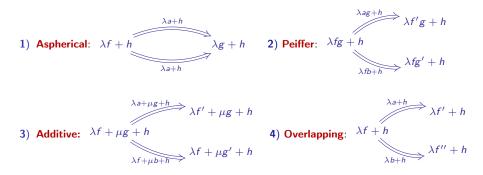
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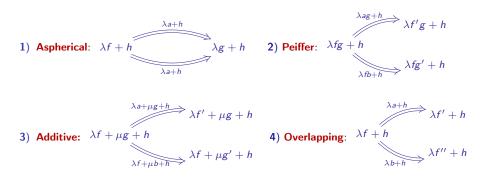
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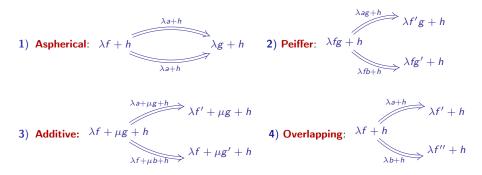
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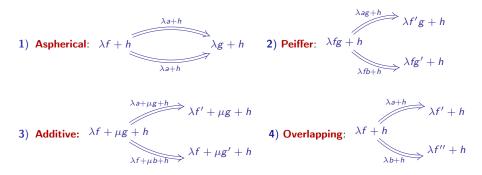
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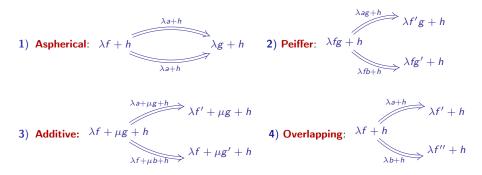
▶ Local branchings of a left-monomial linear 2-polygraph are split into the following four families:



A critical branching is an overlapping branching, with λ = 1 and h = 0, that is minimal for the order relation on branchings defined by (a, b) ⊆ (hah', hbh') for any w, w' ∈ P₁^{*}.



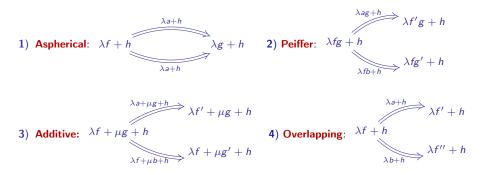
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String rewriting systems	Linear Rewriting Systems
Aspherical are confluent.	Aspherical are confluent. 🗸
Peiffer are confluent.	Peiffer are confluent. 🗡
No additive.	Additive are confluent. 🗡
Conf. of critical \Rightarrow Conf. of overlappings.	Conf. of critical \Rightarrow Conf. of overlappings. $\textbf{\textit{X}}$

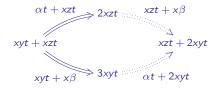
- ► Example: Consider the linear 2-polygraph $P = \langle x, y, z, t | xy \stackrel{\alpha}{\Rightarrow} xz, zt \stackrel{\beta}{\Rightarrow} 2yt \rangle$.
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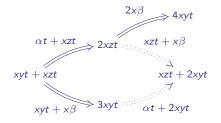
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 $\alpha t + xzt \rightarrow 2xzt$ xyt + xzt⇒ 3xyt $xyt + x\beta$

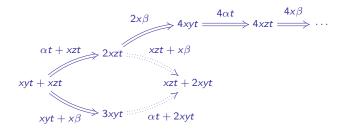
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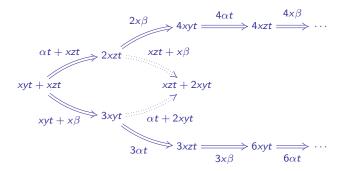
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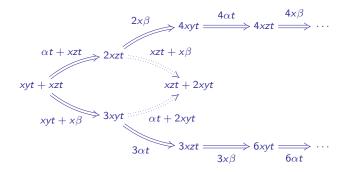
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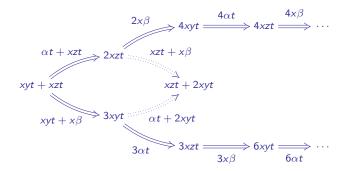


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We need termination to ensure confluence of additive branchings.

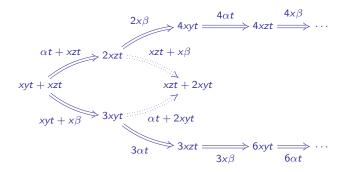
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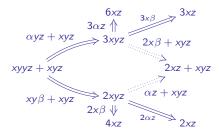
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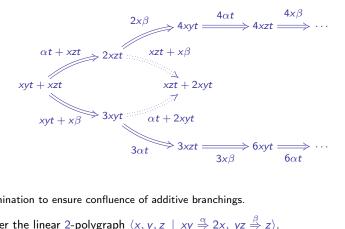
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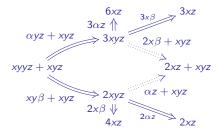
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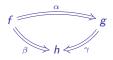
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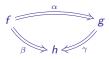
Critical confluence is needed to ensure confluence of Peiffer branchings.

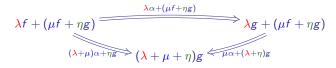
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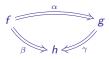


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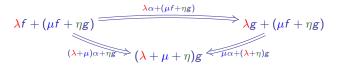




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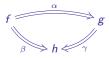


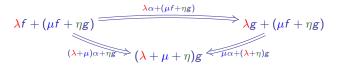
Example: Let *P* be a linear 2-polygraph and $\alpha : f \Rightarrow g$ be a 2-cell.



The proof of the theorem is made by Noetherian induction: consider a local branching with source *f*, and suppose that any branching (α, β) with source g ≺_P f is confluent.

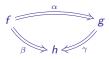
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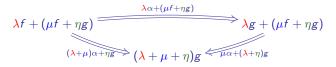




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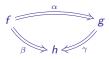
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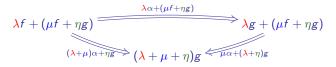




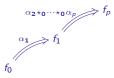
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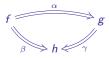


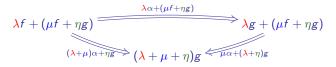


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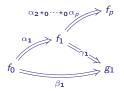


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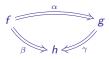


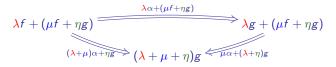


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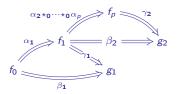


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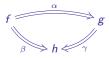


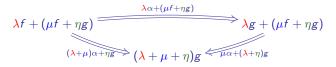


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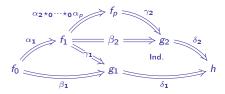


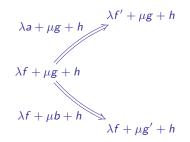
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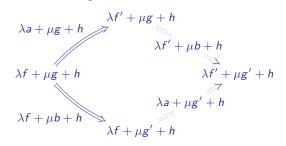


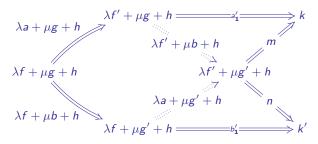


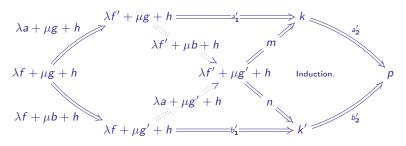
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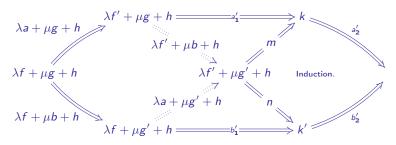




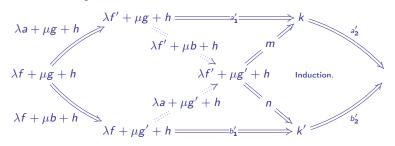


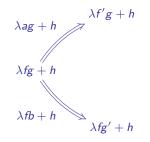


Step 1: Additive branchings are confluent.

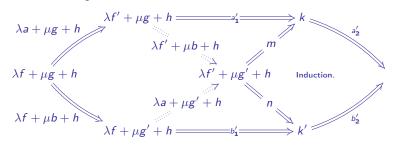


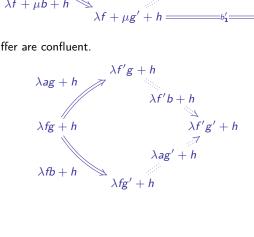
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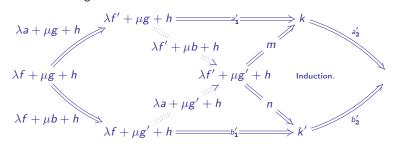


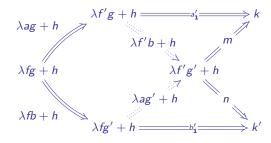
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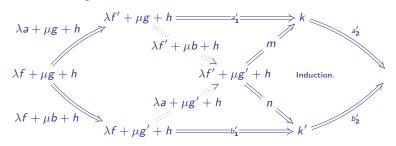


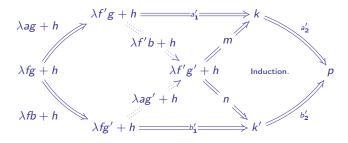
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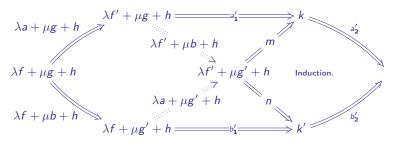


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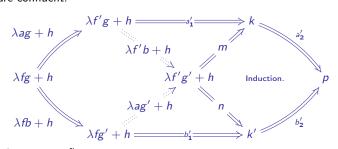


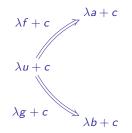


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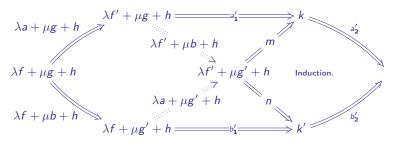


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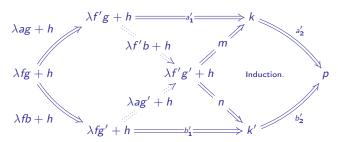


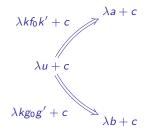


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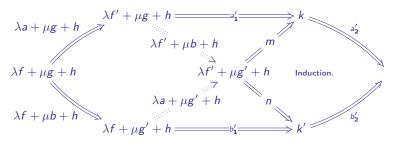


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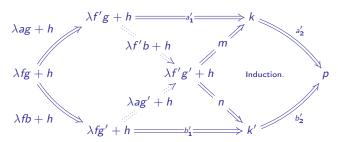


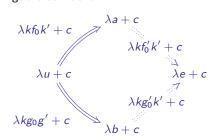


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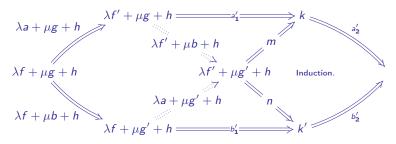


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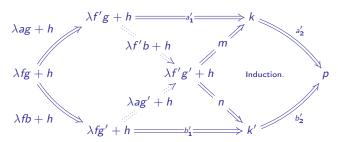


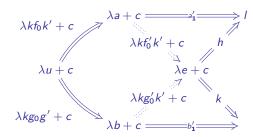


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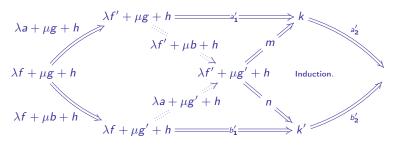


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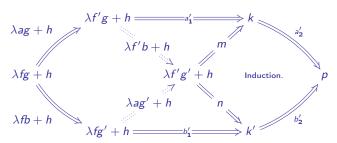


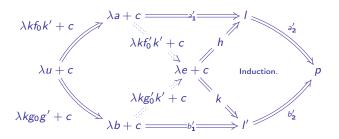


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Step 2: Peiffer are confluent.





• The Weyl algebra of dimension n over a field \mathbb{K} is the algebra presented by the linear 2-polygraph

$$\begin{split} P &= \langle x_1, \dots, x_n, \partial_1, \dots, \partial_n \ | \ x_i x_j \Rightarrow x_j x_i, \quad \partial_i \partial_j \Rightarrow \partial_j \partial_i, \quad \partial_i x_j \Rightarrow x_j \partial_i, \\ \partial_i x_i \Rightarrow x_i \partial_i + 1 \text{ for any } 1 \le i < j \le n \rangle. \end{split}$$

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$$P = \langle x_1, \dots, x_n, \partial_1, \dots, \partial_n \mid x_i x_j \Rightarrow x_j x_i, \quad \partial_i \partial_j \Rightarrow \partial_j \partial_i, \quad \partial_i x_j \Rightarrow x_j \partial_i, \\ \partial_i x_i \Rightarrow x_i \partial_i + 1 \text{ for any } 1 \le i < j \le n \rangle.$$

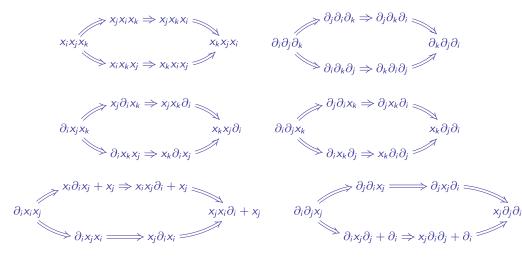
▶ It terminates, using the degree lexicographic order on $\partial_1 > \partial_2 > \cdots > \partial_n > x_1 > x_2 > \ldots x_n$.

Example: the Weyl algebras

• The Weyl algebra of dimension n over a field \mathbb{K} is the algebra presented by the linear 2-polygraph

$$P = \langle x_1, \dots, x_n, \partial_1, \dots, \partial_n \mid x_i x_j \Rightarrow x_j x_i, \quad \partial_i \partial_j \Rightarrow \partial_j \partial_i, \quad \partial_i x_j \Rightarrow x_j \partial_i, \\ \partial_i x_i \Rightarrow x_i \partial_i + 1 \text{ for any } 1 \le i \le j \le n \rangle.$$

- ▶ It terminates, using the degree lexicographic order on $\partial_1 > \partial_2 > \cdots > \partial_n > x_1 > x_2 > \cdots x_n$.
- It has six critical branchings:

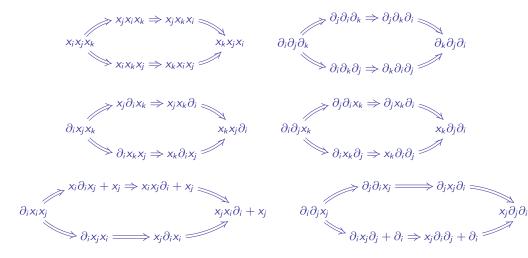


Example: the Weyl algebras

▶ The Weyl algebra of dimension *n* over a field K is the algebra presented by the linear 2-polygraph

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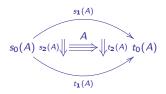
A linear basis of the Weyl algebra of dimension *n* is given by the elements x^{α_n}_n...x^{α₁}₁∂^{β_n}_n...∂^{β₁}₁ for α₁,..., α_n, β₁,..., β_n ∈ N.

III. Squier's coherence theorem

• A linear 3-polygraph is a quadruple (P_0, P_1, P_2, P_3) made of

• a linear 2-polygraph (P_0, P_1, P_2) ,

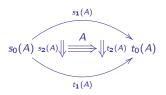
▶ a cellular extension $P_3 \xrightarrow{s_2}_{t_2} \ge P_2^{\ell}$ satisfying globular condition:



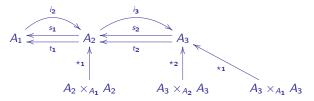
A linear 3-polygraph is a quadruple (P_0, P_1, P_2, P_3) made of

a linear 2-polygraph (P₀, P₁, P₂),

• a cellular extension $P_3 \xrightarrow[t_2]{s_2} P_2^{\ell}$ satisfying globular condition:



A 3-algebra is given by the data of a diagram in Alg:

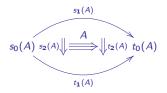


• One defines the free 3-algebra P_3^{ℓ} generated by a linear 3-polygraph *P*.

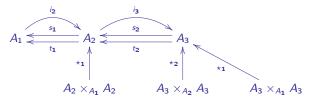
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► a linear 2-polygraph (P₀, P₁, P₂),

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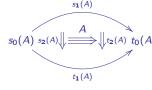


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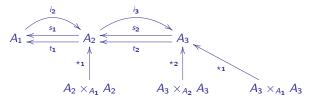


- One defines the free 3-algebra P_3^{ℓ} generated by a linear 3-polygraph *P*.
- ▶ A coherent presentation of an algebra A is a linear 3-polygraph P such that:
 - (P_0, P_1, P_2) is a presentation of A,
 - the cellular extension P_3 is acyclic, that is every 2-sphere of P_2^{ℓ} can be filled with a 3-cell of P_3^{ℓ} .

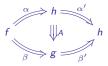
- A linear 3-polygraph is a quadruple (P_0, P_1, P_2, P_3) made of
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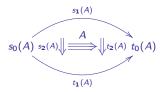


- One defines the free 3-algebra P_3^{ℓ} generated by a linear 3-polygraph P.
- A coherent presentation of an algebra A is a linear 3-polygraph P such that:
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 - the cellular extension P_3 is acyclic, that is every 2-sphere of P_2^{ℓ} can be filled with a 3-cell of P_3^{ℓ} .
- Consider a (left-monomial) linear 2-polygraph P with a cellular extension P_3 of P_2^{ℓ} . A branching (α, β) of P is P_3 -confluent if
 - it is confluent.
 - ► there exists a 3-cell A in P^ℓ₃ tiling the confluence diagram.

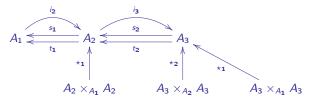


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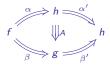
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A 3-algebra is given by the data of a diagram in Alg:



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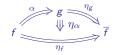


Theorem (Coherent Newman lemma): If P is terminating, then P is P₃-confluent if and only if P is locally P₃-confluent.

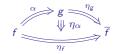
Proposition: Let P be a left-monomial linear 2-polygraph, and P₃ be a cellular extension of P. If P is P₃-convergent, then P₃ is acyclic.

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- Proof:
 - ▶ *P* is *P*₃-convergent \Rightarrow *P* is convergent, hence any 1-cell *f* of P_1^{ℓ} admits a unique normal form \overline{f} .
 - P_2^{ℓ} contains a positive 2-cell $f \stackrel{\eta_f}{\Rightarrow} \overline{f}$.

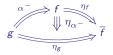
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 - Consider a positive 2-cell $\alpha : f \Rightarrow g$ of P_2^{ℓ} .



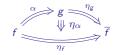
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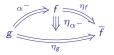
Put η_{α⁻} = α⁻ ⋆₁ (η_α)⁻ to obtain the following 2-cell of P^ℓ₃:



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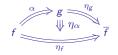


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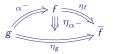


• Consider a 2-cell $\alpha : f \Rightarrow g$ in $P_2^{\ell} \longrightarrow$ this factorises into $\alpha = \beta_1 \star_0 \gamma_1^- \star_0 \cdots \star_0 \beta_p \star_0 \gamma_p^-$.

- Proposition: Let P be a left-monomial linear 2-polygraph, and P₃ be a cellular extension of P. If P is P₃-convergent, then P₃ is acyclic.
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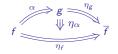
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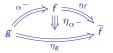
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- Define η_{α} as the following 3-cell of P_3^{ℓ} :



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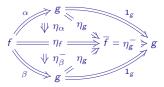
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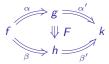


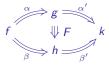
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Finally, for all parallel 2-cells $\alpha, \beta : f \to g$ of P_2^{ℓ} , the composite 3-cell

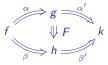




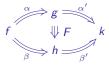


for every critical branching (α, β) of P, with α' and β' positive 2-cells of P_2^{ℓ} , is acyclic.

► Example: Consider $P = \langle x, y, z \mid yz \stackrel{\alpha}{\Rightarrow} -x^2, zy \stackrel{\beta}{\Rightarrow} -\mu x^2 \rangle$ presenting the algebra $A = \mathbb{K} \langle x, y, z \mid x^2 + yz = 0, x^2 + \lambda zy = 0 \rangle$, with $\mu := \lambda^{-1}$.

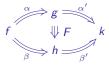


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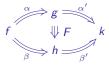
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 $\begin{array}{c} \alpha y & -x^2 y \\ y z y \\ y \beta & -u x^2 \end{array}$

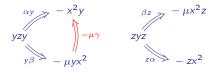


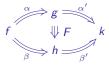
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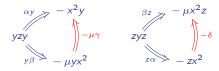


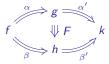
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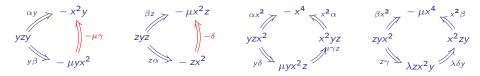


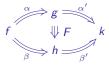
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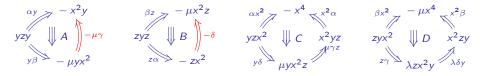


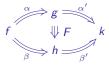
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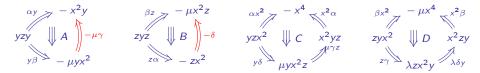
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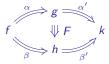


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• $\langle x, y, z \mid \alpha, \beta, \gamma, \delta \mid A, B, C, D \rangle$ is a coherent presentation of A.



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Example: The linear 2-polygraph $P = \langle x, y, z \mid xyz \Rightarrow x^3 + y^3 + z^3 \rangle$ is convergent and admits an empty homotopy basis.