

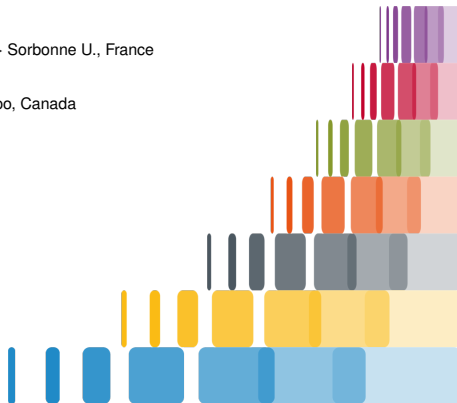
# Computing syzygies in finite dimension using fast linear algebra

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Algebraic rewriting seminar (online)

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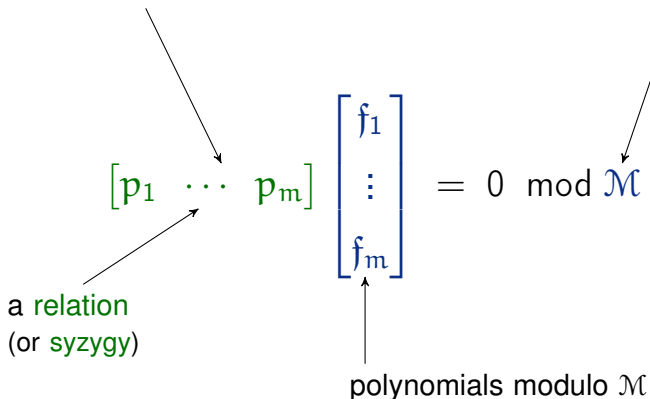
- Multivariate relations and linear algebra
- Computing relations (known multiplication matrices)
- Computing the multiplication matrices

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$$[\mathbf{p}_1 \ \cdots \ \mathbf{p}_m] \begin{bmatrix} f_1 \\ \vdots \\ f_m \end{bmatrix} = 0 \text{ mod } \mathcal{M}$$

polynomials  $\in \mathbb{K}[\mathbf{X}] = \mathbb{K}[X_1, \dots, X_r]$

ideal, module, ...


$$\begin{array}{c} \left[ p_1 \ \cdots \ p_m \right] \begin{bmatrix} f_1 \\ \vdots \\ f_m \end{bmatrix} = 0 \text{ mod } \mathcal{M} \\ \uparrow \qquad \qquad \qquad \uparrow \\ \text{a relation} \qquad \qquad \text{polynomials modulo } \mathcal{M} \\ \text{(or syzygy)} \end{array}$$

# Multivariate relations and linear algebra

## Univariate Hermite-Padé approximation

Over  $\mathbb{K} = \mathbb{Z}/7\mathbb{Z}$ ,  $m = 4$ ,  $\mathcal{M} = \langle X^4 \rangle$ :

$$[p_1 \ p_2 \ p_3 \ p_4] \begin{bmatrix} 5X^3 + 4X^2 + 6X + 4 \\ 2X^3 + X^2 + X + 3 \\ 2X + 1 \\ 4X^3 + X^2 + 4X \end{bmatrix} = 0 \pmod{X^4}$$

**trivial relation**  $\rightsquigarrow \mathbf{p} = [X^4 \ 0 \ 0 \ 0]$

**relation of small degree**  $\rightsquigarrow \mathbf{p} = [X + 5 \ 1 \ 5 \ 1]$

**basis of relations**  $\rightsquigarrow \mathcal{B} = \left\{ \begin{array}{l} [X + 2 \ 0 \ 6 \ 0], \\ [X^2 \ X^2 \ 0 \ 0], \\ [X + 2 \ 3X + 2 \ X \ 0], \\ [X + 5 \ 1 \ 5 \ 1] \end{array} \right\}$

## Bivariate interpolation

$\mathcal{M}$  = set of polynomials  $p(X, Y)$  vanishing at points in  $\mathbb{K}^2$ :

$\{(24, 80), (31, 73), (15, 73), (32, 35), (83, 66), (27, 46), (20, 91), (59, 64)\}$

All interpolants are relations:

$$p(X, Y) \in \mathcal{M} \Leftrightarrow p(X, Y)\mathbf{1} = 0 \text{ mod } \mathcal{M}$$

$\rightsquigarrow$  “matrices” over  $\mathbb{K}[X, Y]$

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$$\left. \begin{array}{l} G = (X - 24) \cdots (X - 59) \\ L = \text{Lagrange interpolant} \end{array} \right\} \longrightarrow \mathcal{M} = \langle G(X), Y - L(X) \rangle$$

Interpolants  $p(X, Y) = p_0(X) + p_1(X)Y + p_2(X)Y^2$ :

$$p(X, L) = [p_0 \quad p_1 \quad p_2] \begin{bmatrix} 1 \\ L \\ L^2 \end{bmatrix} = 0 \text{ mod } G$$

$\rightsquigarrow$  structured matrices over  $\mathbb{K}[X]$



## Bivariate interpolation

$\mathcal{M}$  = set of polynomials  $p(X, Y)$  vanishing at points in  $\mathbb{K}^2$ :

$$\begin{aligned} & \{(24, 80), (31, 73), (15, 73), (32, 35), (83, 66), (27, 46), (20, 91), (59, 64)\} \\ & = \{(x_1, y_1), (x_2, y_2), (x_3, y_3), (x_4, y_4), (x_5, y_5), (x_6, y_6), (x_7, y_7), (x_8, y_8)\} \end{aligned}$$

Interpolants  $p_{00} + p_{01}X + p_{02}X^2 + p_{03}X^3 + p_{04}X^4 + (p_{10} + p_{11}X + p_{12}X^2)Y + p_{20}Y^2$ :

$$\left[ \begin{array}{cccc|cccc} p_{00} & p_{01} & p_{02} & p_{03} & p_{04} & p_{10} & p_{11} & p_{12} & p_{20} \end{array} \right] \begin{array}{c} \left[ \begin{array}{cccc} 1 & 1 & \cdots & 1 \\ x_1 & x_2 & \cdots & x_8 \\ x_1^2 & x_2^2 & \cdots & x_8^2 \\ x_1^3 & x_2^3 & \cdots & x_8^3 \\ x_1^4 & x_2^4 & \cdots & x_8^4 \\ \hline y_1 & y_2 & \cdots & y_8 \\ x_1 y_1 & x_2 y_2 & \cdots & x_8 y_8 \\ x_1^2 y_1 & x_2^2 y_2 & \cdots & x_8^2 y_8 \\ \hline y_1^2 & y_2^2 & \cdots & y_8^2 \end{array} \right] = 0 \end{array}$$

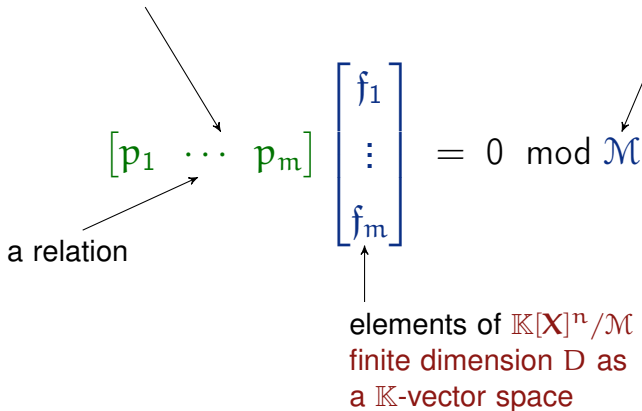
$\rightsquigarrow$  2-level structured matrices over  $\mathbb{K}$

# Multivariate relations and linear algebra

## Finite-dimensional vector spaces

polynomials  $\in \mathbb{K}[\mathbf{X}] = \mathbb{K}[X_1, \dots, X_r]$

submodule of  $\mathbb{K}[\mathbf{X}]^n$



$\rightsquigarrow$  these relations form a submodule of  $\mathbb{K}[\mathbf{X}]^m$   
which has co-dimension  $\leq D$

# Multivariate relations and linear algebra

## Using linear algebra?

often, handling structured matrices = incorporating polynomial operations. . .

**why**

interpreting **approximation/interpolation** as linear algebra?

**how**

can this be done for **relations in general**?

often, handling structured matrices = incorporating polynomial operations...

**why**

interpreting **approximation/interpolation** as linear algebra?

- **fastest** known approach for  $m \geq D$   
(roughly: large matrix dimensions, small polynomial degrees)
- **fastest** known approach for any parameters for general relations

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**how**

can this be done for **relations in general**?

using **multiplication matrices**

$\rightsquigarrow$  operations on polynomials translated into linear algebra

- elements  $f$  of  $\mathbb{K}[\mathbf{X}]^n/\mathcal{M} \longleftrightarrow$  vectors  $[v_1 \ \cdots \ v_D] \in \mathbb{K}^{1 \times D}$
- multiplication by variable  $X_i \longleftrightarrow$  multiplication by **matrix**  $M_i \in \mathbb{K}^{D \times D}$

## Multiplication matrices

Working in  $\mathbb{K}[X]/\langle X^4 \rangle$ , with **monomial basis**  $(1, X, X^2, X^3)$ ,  
 polynomial  $p_0 + p_1X + p_2X^2 + p_3X^3 \longleftrightarrow$  vector  $[p_0 \ p_1 \ p_2 \ p_3]$

$$\text{Multiplication by } X = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Working in  $\mathbb{K}[X, Y]/\langle G, Y - L \rangle$ , with **monomial basis**  $(1, X, X^2, \dots, X^7)$

$M =$  Multiplication by  $X =$

$$\begin{bmatrix} & 1 & & & & & & & \\ & & 1 & & & & & & \\ & & & 1 & & & & & \\ & & & & 1 & & & & \\ & & & & & 1 & & & \\ & & & & & & 1 & & \\ g_0 & g_1 & g_2 & g_3 & g_4 & g_5 & g_6 & g_7 & \end{bmatrix}$$

Multiplication by  $Y =$

$$\begin{bmatrix} \text{coeff}(L) \\ \text{coeff}(XL \text{ mod } G) \\ \text{coeff}(X^2L \text{ mod } G) \\ \text{coeff}(X^3L \text{ mod } G) \\ \text{coeff}(X^4L \text{ mod } G) \\ \text{coeff}(X^5L \text{ mod } G) \\ \text{coeff}(X^6L \text{ mod } G) \\ \text{coeff}(X^7L \text{ mod } G) \end{bmatrix} = \begin{bmatrix} \ell \\ \ell M \\ \ell M^2 \\ \ell M^3 \\ \ell M^4 \\ \ell M^5 \\ \ell M^6 \\ \ell M^7 \end{bmatrix}$$

- Multivariate relations and linear algebra
- **Computing relations (known multiplication matrices)**
- Computing the multiplication matrices

**Problem***Input:*

- submodule  $\mathcal{M}$  of  $\mathbb{K}[\mathbf{X}]^n$ , of finite codimension  $D$
- equation  $\mathbf{f} = [f_1 \ \cdots \ f_m]^T$  with entries in  $\mathbb{K}[\mathbf{X}]^n/\mathcal{M}$
- a **monomial order**  $\prec$  on  $\mathbb{K}[\mathbf{X}]^m$

*Represented as:*

- multiplication matrices  $\mathbf{M}_1, \dots, \mathbf{M}_r$  in  $\mathbb{K}^{D \times D}$
- vectors  $\mathbf{e}_1, \dots, \mathbf{e}_m$  in  $\mathbb{K}^{1 \times D}$



## Problem

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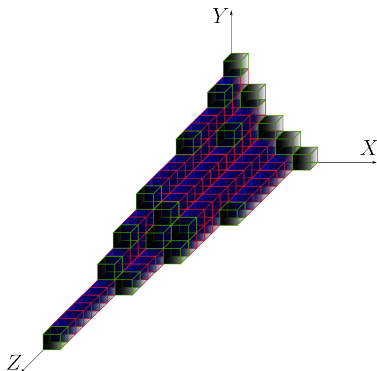
- multiplication matrices  $\mathbf{M}_1, \dots, \mathbf{M}_r$  in  $\mathbb{K}^{D \times D}$
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*Output:*

the  $\prec$ -**Gröbner basis** of the module of relations

$$\mathcal{R} = \{\mathbf{p} \in \mathbb{K}[\mathbf{X}]^m \mid \mathbf{p}\mathbf{f} = 0 \text{ mod } \mathcal{M}\}$$

$\rightsquigarrow$  **nice properties:** unique, minimal degrees, computing modulo  $\mathcal{R}$ , ...



$\mathcal{V} = \mathbb{K}[X_1, \dots, X_r]^n / \mathcal{M}$  is a  $\mathbb{K}$ -vector space of dimension  $D$

Relations are **vectors in the nullspace of a matrix** over  $\mathbb{K}$

• matrix  $\mathbf{E} = \begin{bmatrix} \mathbf{e}_1 \\ \vdots \\ \mathbf{e}_m \end{bmatrix} \in \mathbb{K}^{m \times D}$  (equation  $\begin{bmatrix} f_1 \\ \vdots \\ f_m \end{bmatrix} \in \mathcal{V}^{m \times 1}$ )

• matrix  $\mathbf{M}_i \in \mathbb{K}^{D \times D}$ ,  $1 \leq i \leq r$  (multiplying by  $X_i$  in  $\mathcal{V}$ )

$$\begin{array}{c}
 \begin{matrix} \text{green} \\ \uparrow \\ [p_1 \ \cdots \ p_m] \end{matrix} \begin{bmatrix} f_1 \\ \vdots \\ f_m \end{bmatrix} = \sum_{1 \leq i \leq m} \sum_j \underbrace{\alpha_{i,j}}_{\in \mathbb{K}} X_1^{j_1} \cdots X_r^{j_r} f_i \\
 \begin{matrix} \text{red} \\ \uparrow \\ \text{relation} = \mathbb{K}\text{-linear relation between vectors } \{ \mathbf{e}_i \mathbf{M}_1^{j_1} \cdots \mathbf{M}_r^{j_r} \}_{j,i} \\ \in \mathbb{K}^{1 \times D} \end{matrix}
 \end{array}$$

basis of **relations** = subset of **nullspace** of multi-Krylov matrix

lex<sup>top</sup> order:

$$\left[ \begin{array}{c} \left[ \begin{array}{c} E \\ EM_1 \\ \vdots \\ EM_1^D \end{array} \right] \\ \left[ \begin{array}{c} E \\ EM_1 \\ \vdots \\ EM_1^D \end{array} \right] M_2 \\ \vdots \\ \left[ \begin{array}{c} E \\ EM_1 \\ \vdots \\ EM_1^D \end{array} \right] M_2^D \end{array} \right]$$

basis of **relations** = subset of **nullspace** of multi-Krylov matrix

$\prec_{\text{lex}}^{\text{top}}$  order:  $\omega$ :  $D \times D$  matrix multiplication in  $O(D^\omega)$  operations

$$\left[ \begin{array}{c} \left[ \begin{array}{c} E \\ EM_1 \\ \vdots \\ EM_1^D \end{array} \right] \\ \left[ \begin{array}{c} E \\ EM_1 \\ \vdots \\ EM_1^D \end{array} \right] M_2 \\ \vdots \\ \left[ \begin{array}{c} E \\ EM_1 \\ \vdots \\ EM_1^D \end{array} \right] M_2^D \end{array} \right]$$

- [Keller-Gehrig, 1985]:  $\text{charpoly}(\mathbf{M})$  in  $O(D^\omega \log(D))$   
(one variable,  $\mathbf{E} = \text{Id}$ , output = Hermite form)
- [FGLM, 1993] [MMM, 1993]: general case in  $O(rD^3)$
- [Beckermann&Labahn, 2000]:  $O(mD^2)$  for structured  $\mathbf{M}$   
(one variable, output = shifted Popov form)
- [Faugère et al., 2014]: for  $\prec_{\text{lex}}$  and Shape position,  
 $O(D^\omega \log(D) + rM(D) \log(D))$

**General case with fast matrix multiplication?**

## Incorporating fast linear algebra

Size of dense representations:

input	multi-Krylov matrix	output
$rD^2 + mD$	$mD^{r+1}$	$rD^2$

### Algorithm:

1. compute monomial basis = row rank profile
2. find  $\prec$ -Gröbner basis by nullspace computation

**Difficulty:** incorporate fast multiplication in Step 1 for any  $\prec$

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**Approach:**

- $X_1, \dots, X_r \rightsquigarrow$  gather operations involving  $M_i$
  - $X_i, X_i^2, X_i^4, \dots \rightsquigarrow$  gather operations involving  $M_i^{2^j}$
  - insert new rows according to the order  $\prec$
- } as if  $\prec_{\text{lex}}^{\text{top}}$

**Cost bound:**  $O(rD^\omega \log(D))$  operations in  $\mathbb{K}$

# Computing the multiplication matrices

## Outline

- Multivariate relations and linear algebra
- Computing relations (known multiplication matrices)
- **Computing the multiplication matrices**

Arising in polynomial system solving:

**Problem:**  $\prec_1$ -GB of  $\mathcal{M} \rightarrow \prec_2$ -GB of  $\mathcal{M}$

=  $\prec_2$ -GB of relations:  $p_1 = 0 \bmod \mathcal{M}$

**Approach:** [FGLM, 1993]

1. compute  $M_1, \dots, M_r$  from  $\prec_1$ -GB [FGLM, 1993]  $\rightarrow O(rD^3)$
2. compute the  $\prec_2$ -GB of relations  $O(rD^\omega \log(D))$

**Result:** step 1. in  $O(rD^\omega \log(D))$

assuming  $\langle \text{Im}_{\prec_1}(\mathcal{M}) \rangle$  has some stability property

$\rightsquigarrow$  extends [Faugère - Gaudry - Huot - Renault, 2014]



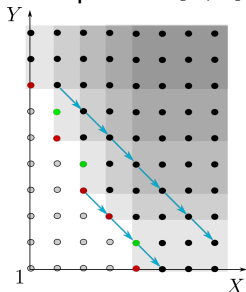
**Assumption of stability**

Property of the ideal  $\mathcal{J}$  of leading terms of  $\mathcal{I}$ :

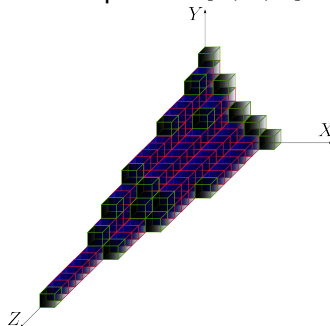
**Borel-fixed monomial ideal  $\mathcal{J}$  (in characteristic 0)**

for all  $\mu \in \mathcal{J}$ , if  $X_j$  divides  $\mu$  then  $\frac{X_i}{X_j} \mu \in \mathcal{J}$  for all  $i < j$ .

Example in  $\mathbb{K}[X, Y]$ :



Example in  $\mathbb{K}[X, Y, Z]$ :



Main operation for obtaining the multiplication matrices:  
**computing parts of the multi-Krylov matrix**, à la Keller-Gehrig

## Basis of relations

$$pf = 0 \text{ mod } \mathcal{M}$$

knowing multiplication matrices

## Change of monomial order

$\rightsquigarrow$  polynomial system solving

$\prec_1$ -GB of  $\mathcal{M} \rightarrow \prec_2$ -GB of  $\mathcal{M}$

- Computations with **multi-Krylov matrices**
- Incorporates **fast dense linear algebra**
- Cost bound:  $O(rD^\omega \log(D))$
- For the second problem: **assumptions on  $\mathcal{M}$**

Project with Simone Naldi:

incorporate **polynomial matrix multiplication** in algorithms for specific families of relations